



11-4  
**PATENT**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Atty Docket No. A01029A  
RDB/sd

In re application of:  
Michael Raymond Connelly

Serial No.: 09/825,715 : Group Art Unit: 2121

Filed: 04/04/01 : Examiner:

For: Polymer Process

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In accordance with applicant(s)' duty of disclosure under 37 C.F.R. § 1.56(a) and pursuant to 37 C.F.R. § 1.97 - 1.98, Applicant(s) submit(s) herewith copies of the documents listed on the enclosed Form, PTO-1449 "List of Art Cited by Applicant." Inclusion of a document in this Information Disclosure Statement is not intended to constitute an admission that any document so disclosed is "prior art" with respect to the present invention unless specifically so stated herein.

The filing of this Information Disclosure Statement shall not be construed to mean that a search has been made, or that no other material information, as defined in 37 C.F.R. § 1.56(a) exists.

The Examiner may find the enclosed documents relevant to the invention of the present application.

In accordance with 37 CFR §1.98(a)(2), a copy of each item listed on the enclosed Form, PTO-1449, is included herewith except that no copies of the U.S. patent applications are included pursuant to 37 CFR §1.98(a)(2)(iii). Except where noted, each of the items listed are provided in the English language.

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### CERTIFICATION

Check one of the following two paragraphs

- [ ] The additional references cited herewith were cited in a communication from a non-US patent office regarding a non-US counterpart application not more than 3 months prior to the filing of this statement, or
- [ X ] The additional references were not cited in such a communication and were not known to any individual having a duty of disclosure more than 3 months prior to the filing of this statement.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Andrew E. C. Merriam".

Andrew E. C. Merriam  
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Rohm and Haas Company  
100 Independence Mall West  
Philadelphia, PA 19106-2399

A handwritten stamp in black ink, reading "FEB 2 8 2003".

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**CERTIFICATE OF MAILING**

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on the date indicated next to my signature below:

Supplemental Information Disclosure Statement  
List of Art Cited by Applicant (in duplicate)  
Copies of Cited Art  
Postcard

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January 26, 2003  
Date

Kelli Disney  
Signature

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Sheet 1 of 1

## LIST OF ART CITED BY APPLICANT

(Use several sheets if necessary)

Atty Docket No. A01029A	Serial No. 09/825,715
Applicant Michael Raymond Connolly	
Filing Date 04/04/01	Group 2121

### U.S. Patent Documents

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	Class/Subclass	FILING DATE IF APPROPRIATE
AA	6,498,219 B1	12/24/02	Kröner et al	526/61	
AB					
AC					
AD					
AE					
AF					
AG					
AH					
AI					
AJ					
AK					

### FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	Class/Subclass	Translation Yes/No
AL					
AM					
AN					
AO					
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### OTHER ART (Including Author, Title, Date, Pertinent Patents, etc.)

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Examiner

Date Considered

TC 1700

\*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.